

Regulatory Notice 15-1

Issue Date June 10, 2015

Attention: Compliance and Legal

Proposed Rulebook Amendments

LatAm SEF requests comment on proposed Rulebook amendments, additions, corrections, revisions and or deletions.

Comment Period Expires: June 22, 2015

Executive Summary

In accordance with CFTC regulations, we are soliciting comments from Trading Privilege Holders on proposed revision and amendment to the Rulebook. The proposed rules affected are:

- Chapter 1. Defined Terms
- Chapter 2. Governance
- Chapter 3. Trading Privilege Holders
- Chapter 4. Trading Privilege Holder Obligations
- Chapter 5. Trading Practices
- Chapter 6. Clearing
- Chapter 10. Liability and Disclaimers of Liability

The proposed rule Explanations and Analysis is attached as Exhibit A, the clean version of the Rulebook is Exhibit B and the blackline copy of the Rulebook text is Exhibit C. The final copy of the Rulebook will be published on our website and forwarded upon conclusion of CFTC.

Questions regarding this Notice should be direct to:

- Sandra Armstrong, Chief Compliance Officer at (646) 344-3267 or
- Joseph Skelly, Corporate Communication at (646) 344-3274

Action Requested

LatAm SEF encourages all interested parties to comment on the proposed Rulebook amendments, additions, corrections, revisions and or deletions. Comments must be received by June 22, 2015.

Comments must be submitted via email to: jskelly@latamsef.com.

Before becoming effective, rule changes, amendments and or revisions, must be filed with the CFTC pursuant to Section 5c of the Act.